

# CS 2500: Algorithms

## Lecture 24: Dynamic Programming: 0/1 Knapsack Problem

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# 0/1 Knapsack Problem

We are given a number of objects and a knapsack.

- We suppose that the objects **may not be broken into smaller pieces**, so we may either take an object or leave it behind.
- Let  $i = 1, 2, \dots, n$  denote the objects.
- Each object  $i$  has:
  - a positive weight  $w_i$
  - a positive value  $v_i$
- The knapsack has a weight capacity  $W$ .
- Goal: Fill the knapsack in a way that maximizes the **total value of the included objects**.

Let  $x_i = 0$  if we do not take object  $i$ , and  $x_i = 1$  if we include object  $i$ .

# 0/1 Knapsack Problem

## Mathematical Formulation

$$\begin{array}{ll}\text{maximize} & \sum_{i=1}^n x_i v_i \\ \text{subject to} & \sum_{i=1}^n x_i w_i \leq W\end{array}$$

where:

- $v_i > 0$  and  $w_i > 0$
- $x_i \in \{0, 1\}$  for  $1 \leq i \leq n$

### Constraints:

- $v_i$  and  $w_i$  are constants on the instance
- $x_i$  are variables in the solution.

**Objective:** Maximize the total value without exceeding the weight capacity  $W$ .

## Greedy Algorithm

- The greedy algorithm suggests choosing objects in order of decreasing  $\frac{v_i}{w_i}$  (value per unit weight).
- However, the greedy approach fails when objects cannot be broken.
- Example:
  - Suppose we have three objects:
    - Object 1: weight = 6, value = 8
    - Object 2: weight = 5, value = 5
    - Object 3: weight = 5, value = 5
  - Knapsack capacity = 10.
  - Greedy algorithm would pick object 1 (highest  $\frac{v}{w}$ ), but this leaves no room for other objects.
  - Optimal solution: pick objects 2 and 3, with total value of 10.

# Dynamic Programming: Two Main Approaches

- Dynamic programming can be implemented using two main approaches:
  - ① **Top-Down with Memoization**
  - ② **Bottom-Up**
- Both approaches use a table to store intermediate results, but differ in how the table is filled and the order in which subproblems are solved.

**Note:** The technical term “memoization” is not a misspelling of “memorization”. The word “memoization” comes from “memo” since the technique consists of recording a value to be looked up later.

# Order of Filling the Table

## Top-Down with Memoization:

- Starts from the “top” (i.e., the original problem) and breaks it down into smaller subproblems recursively.
- For each subproblem, checks if the solution already exists in the table.
- If the solution is not in the table, solves the subproblem and stores the result in the table.
- Recursive calls continue until reaching the base cases, and results are stored (“memoized”) as they are computed.

## Bottom-Up:

- Begins from the “bottom” by solving the smallest subproblems first and storing their results in the table.
- Uses these stored results to solve larger subproblems iteratively, building up to the solution for the original problem.
- Systematically fills all entries in the table from smallest to largest subproblems.

## Top-Down with Memoization:

- Uses recursion with memoization.
- Each recursive call may lead to further recursive calls. Once a subproblem is solved, its result is stored to avoid redundant computation.
- Has a natural recursive structure, making the logic easier to understand for problems that are inherently recursive.

## Bottom-Up:

- Uses an iterative approach with loops to fill the table from the smallest subproblems up to the largest.
- Implemented with explicit loops rather than recursive calls, reducing the overhead associated with recursion.
- Builds the solution in a structured, iterative manner, which can be more efficient in practice.

## Top-Down with Memoization:

- Only the necessary subproblems are computed, depending on the recursion path taken to solve the main problem.
- In some cases, not all entries in the table are filled, as only the subproblems needed to reach the solution are computed.

## Bottom-Up:

- Computes all possible subproblems in a systematic order.
- Every entry in the table is usually filled, even if not all of them are necessary to compute the final result.
- This approach ensures all dependencies are solved in advance, as the solution builds from the smallest subproblems.



## Top-Down with Memoization:

- Can have more overhead due to recursive calls, especially if the problem has deep recursion.
- May save some work by only computing the necessary subproblems, which can be efficient in some cases.

## Bottom-Up:

- Typically has less overhead, as it avoids recursion and fills the table directly with iterative loops.
- This approach can lead to better performance in practice, especially in languages where recursion is costly.
- More suitable for problems where all subproblems need to be computed systematically.

# Top-Down vs Bottom-Up: Summary

## Top-Down with Memoization:

- Starts with the original problem and breaks it down recursively.
- Uses memoization to store results of subproblems.
- Only solves necessary subproblems based on recursion path.

## Bottom-Up:

- Starts with the smallest subproblems and builds up iteratively.
- Systematically fills all table entries, ensuring all dependencies are solved.
- Avoids recursion overhead, typically faster in practice.

# 0/1 Knapsack: Top-Down Approach with Memoization

## Steps for Top-Down Approach:

- 1 Start with the original problem (e.g., max value with all items and full weight capacity).
- 2 Recursively break down the problem:
  - If item  $i$  is not included, recursively compute the solution for  $i - 1$  items with the same capacity.
  - If item  $i$  is included, recursively compute the solution for  $i - 1$  items with reduced capacity  $W - w_i$ .
- 3 Store results in a table (memoization) as each subproblem is solved.
- 4 Retrieve results from the table when the same subproblem is encountered again, avoiding redundant computation.

**Note:** This recursive approach does not require solving every subproblem in the table; only the subproblems reached by the recursion path are solved.

# 0/1 Knapsack: Top-Down Approach with Memoization

Let  $\text{Knapsack}(i, w)$  represent the max value for items 1 to  $i$  with weight  $w$ :

- Recursive formula:

$$\text{Knapsack}(i, w) = \begin{cases} \text{Knapsack}(i - 1, w) & \text{if } w_i > w \\ \max(\text{Knapsack}(i - 1, w), v_i + \text{Knapsack}(i - 1, w - w_i)) & \text{if } w_i \leq w \end{cases}$$

- Results are stored in a table as subproblems are computed, avoiding redundant recursion.

# 0/1 Knapsack: Top-Down Approach with Memoization

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**Algorithm 2** 0/1 Knapsack Problem - Top-Down with Memoization

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**Require:**  $n$ : number of items,  $W$ : maximum weight capacity of the knapsack

**Require:**  $w[i]$ : weight of item  $i$ ,  $v[i]$ : value of item  $i$  for  $i = 1, \dots, n$

**Ensure:** Maximum value achievable with weight limit  $W$

- 1: Initialize a 2D memoization array  $V[0 \dots n][0 \dots W]$  with all values set to  $-1$   $\triangleright$  indicates uncomputed subproblems
  - 2: **function** KNAPSACK( $i, w$ )
  - 3:   **if**  $i = 0$  or  $w = 0$  **then**
  - 4:     **return** 0    $\triangleright$  Base case: no items or zero capacity yields zero value
  - 5:   **end if**
  - 6:   **if**  $V[i][w] \neq -1$  **then**
  - 7:     **return**  $V[i][w]$   $\triangleright$  Return already computed value
  - 8:   **end if**
  - 9:   **if**  $w_i \leq w$  **then**
  - 10:      $V[i][w] \leftarrow \max(\text{Knapsack}(i-1, w), \text{Knapsack}(i-1, w - w_i) + v_i)$
  - 11:   **else**
  - 12:      $V[i][w] \leftarrow \text{Knapsack}(i-1, w)$
  - 13:   **end if**
  - 14:   **return**  $V[i][w]$
  - 15: **end function**
  - 16: **Compute the solution:** Call KNAPSACK( $n, W$ ) to fill the memoization table and get the maximum value **return**  $V[n][W]$
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# 0/1 Knapsack: Bottom-Up Approach

## Steps for Bottom-Up Approach:

- 1 Initialize a table (e.g., 2D array) where each entry represents a subproblem (e.g., max value achievable with a certain number of items and a certain weight capacity).
- 2 Fill the table iteratively, row by row or column by column, starting from the smallest subproblems (e.g., 0 items or 0 weight).
- 3 Use the already computed subproblem results to solve larger subproblems in each step.
- 4 The final entry in the table gives the answer to the original problem.

**Note:** This approach systematically fills all entries in the table, even if not all are necessary to compute the final result.

# 0/1 Knapsack: Bottom-Up Approach

- Define a table  $V[i][w]$  where:
  - $i$ : The number of items considered (from 1 to  $n$ ).
  - $w$ : The weight limit (from 0 to  $W$ ).
  - $V[i][w]$ : The maximum value achievable with items  $1, \dots, i$  and weight capacity  $w$ .
- Recurrence relation:

$$V[i][w] = \begin{cases} V[i-1][w] & \text{if } w_i > w \\ \max(V[i-1][w], V[i-1][w - w_i] + v_i) & \text{if } w_i \leq w \end{cases}$$

- Boundary conditions:
  - $V[0][w] = 0$  for all  $w$  (no items to include).
  - $V[i][0] = 0$  for all  $i$  (zero weight capacity).

# 0/1 Knapsack: Bottom-Up Approach

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**Algorithm 1** 0/1 Knapsack Problem - Bottom-Up Approach

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**Require:**  $n$ : number of items,  $W$ : maximum weight capacity of the knapsack

**Require:**  $w[i]$ : weight of item  $i$ ,  $v[i]$ : value of item  $i$  for  $i = 1, \dots, n$

**Ensure:** Maximum value achievable with weight limit  $W$

```
1: Initialize a 2D array  $V[0 \dots n][0 \dots W]$  where  $V[i][w]$  represents the maximum value achievable with the first  $i$  items and weight limit  $w$ 
2: for  $i = 0$  to  $n$  do
3:    $V[i][0] \leftarrow 0$  {0 capacity results in 0 value}
4: end for
5: for  $w = 0$  to  $W$  do
6:    $V[0][w] \leftarrow 0$  {0 items result in 0 value for any capacity}
7: end for
8: for  $i = 1$  to  $n$  do
9:   for  $w = 1$  to  $W$  do
10:    if  $w_i \leq w$  then
11:       $V[i][w] \leftarrow \max(V[i-1][w], V[i-1][w - w_i] + v_i)$ 
12:    else
13:       $V[i][w] \leftarrow V[i-1][w]$ 
14:    end if
15:  end for
16: end for
17: return  $V[n][W]$  {The maximum value achievable with all items and capacity  $W$ } = 0
```

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# 0/1 Knapsack: Bottom-Up Approach

## Example:

- Objects: weights = 1, 2, 5, 6, 7; values = 1, 6, 18, 22, 28.
- Knapsack capacity = 11.
- Dynamic programming table shows maximum values at different weight capacities.

| Weight limit:       | 0 | 1 | 2 | 3 | 4 | 5  | 6  | 7  | 8  | 9  | 10 | 11 |
|---------------------|---|---|---|---|---|----|----|----|----|----|----|----|
| $w_1 = 1, v_1 = 1$  | 0 | 1 | 1 | 1 | 1 | 1  | 1  | 1  | 1  | 1  | 1  | 1  |
| $w_2 = 2, v_2 = 6$  | 0 | 1 | 6 | 7 | 7 | 7  | 7  | 7  | 7  | 7  | 7  | 7  |
| $w_3 = 5, v_3 = 18$ | 0 | 1 | 6 | 7 | 7 | 18 | 19 | 24 | 25 | 25 | 25 | 25 |
| $w_4 = 6, v_4 = 22$ | 0 | 1 | 6 | 7 | 7 | 18 | 22 | 23 | 28 | 29 | 29 | 40 |
| $w_5 = 7, v_5 = 28$ | 0 | 1 | 6 | 7 | 7 | 18 | 22 | 28 | 29 | 34 | 35 | 40 |

Figure: The 0/1 Knapsack Problem using Dynamic Programming.

# 0/1 Knapsack: Bottom-Up Approach

**Row 1:**  $w_1 = 1, v_1 = 1$

- For weight  $w = 0$ :  $V[1][0] = 0$  (knapsack has zero capacity).
- For weight  $w \geq 1$ : Item 1 fits, so  $V[1][w] = 1$ .

**Row 1:** 0, 1, 1, 1, 1, 1, 1, 1, 1, 1, 1

# 0/1 Knapsack: Bottom-Up Approach

**Row 2:**  $w_2 = 2, v_2 = 6$

- For  $w = 0$ :  $V[2][0] = 0$ .
- For  $w = 1$ :  $V[2][1] = 1$  (only item 1 fits).
- For  $w = 2$ : Use item 2 alone,  $V[2][2] = 6$ .
- For  $w = 3$ : Combine item 1 and item 2,  $V[2][3] = 7$ .
- For  $w \geq 4$ : Value remains 7.

**Row 2:** 0, 1, 6, 7, 7, 7, 7, 7, 7, 7, 7

# 0/1 Knapsack: Bottom-Up Approach

**Row 3:**  $w_3 = 5, v_3 = 18$

- For  $w \leq 4$ : Item 3 cannot fit,  $V[3][w] = V[2][w]$ .
- For  $w = 5$ : Use item 3 alone,  $V[3][5] = 18$ .
- For  $w = 6$ : Use item 3 and item 1,  $V[3][6] = 19$ .
- For  $w = 7$ : Use item 3 and item 2,  $V[3][7] = 24$ .
- For  $w \geq 8$ : Maximum value is 25.

**Row 3:** 0, 1, 6, 7, 7, 18, 19, 24, 25, 25, 25, 25

# 0/1 Knapsack: Bottom-Up Approach

**Row 4:**  $w_4 = 6, v_4 = 22$

- For  $w \leq 5$ : Item 4 cannot fit,  $V[4][w] = V[3][w]$ .
- For  $w = 6$ : Use item 4 alone,  $V[4][6] = 22$ .
- For  $w = 7$ : Use item 4 and item 1,  $V[4][7] = 23$ .
- For  $w = 8$ : Use item 4 and item 2,  $V[4][8] = 28$ .
- For  $w = 9$ : Maximum value is 29.
- For  $w = 11$ : Use items 4 and 3, giving  $V[4][11] = 40$ .

**Row 4:** 0, 1, 6, 7, 7, 18, 22, 23, 28, 29, 29, 40

# 0/1 Knapsack: Bottom-Up Approach

**Row 5:**  $w_5 = 7, v_5 = 28$

- For  $w \leq 6$ : Item 5 cannot fit,  $V[5][w] = V[4][w]$ .
- For  $w = 7$ : Use item 5 alone,  $V[5][7] = 28$ .
- For  $w = 8$ : Use item 5 and item 1,  $V[5][8] = 29$ .
- For  $w = 9$ : Use item 5 and item 2,  $V[5][9] = 34$ .
- For  $w = 10$ : Maximum value is 35.
- For  $w = 11$ : Use items 5 and 3 or items 5 and 4,  $V[5][11] = 40$ .

**Row 5:** 0, 1, 6, 7, 7, 18, 22, 28, 29, 34, 35, 40

## Optimal Solution Traceback

- Using the table, trace back to find the composition of the optimal load.
- Example:
  - Start from  $V[5, 11]$ , check previous cells to identify items included in the optimal solution.
  - Optimal solution consists of objects 3 and 4.
  - Total value = 40.

## Algorithm Complexity

- Time Complexity:  $O(nW)$ 
  - $n$ : Number of items.
  - $W$ : Knapsack capacity.
- Space Complexity:  $O(nW)$  for storing the table  $V$ .
- Efficient for cases where both  $n$  and  $W$  are not too large.



# Subset Sum Problem

- Goal: Determine if there exists a subset of a given set of integers that sums up to a target value  $S$ .
- This problem can be solved efficiently using DP.
- It shares similarities with the 0/1 Knapsack Problem.

# Subset Sum Problem

**Dynamic Programming Approach:** To solve the Subset Sum Problem using DP, we define a DP table where:

- $DP[i][j]$ : Boolean value indicating whether a subset of the first  $i$  elements can sum up to  $j$ .
- $DP[i][j] = \text{True}$  if there exists a subset that sums up to  $j$ .
- $DP[i][j] = \text{False}$  otherwise.

## Similarity to the 0/1 Knapsack Problem

- **Decision Problem vs. Optimization Problem:**
  - Subset Sum is a decision problem (we only care if a solution exists).
  - 0/1 Knapsack is an optimization problem (we want the maximum value).
- **Structure of Choices:**
  - In both problems, for each element, we can either include or exclude it.

## Recurrence Relation Comparison

- **Subset Sum:**

$$DP[i][j] = DP[i - 1][j] \text{ OR } DP[i - 1][j - a_{i-1}]$$

- **0/1 Knapsack:**

$$DP[i][j] = \max(DP[i - 1][j], DP[i - 1][j - w_i] + v_i)$$

- In Subset Sum, we use boolean OR; in 0/1 Knapsack, we use max.

## DP Table Initialization

- ① **Base Case:**  $DP[0][0] = \text{True}$ . With zero elements, we can achieve a sum of 0 by taking an empty subset.
- ② **First Row:** For  $j > 0$ ,  $DP[0][j] = \text{False}$ : With zero elements, no non-zero sum is achievable.

# Subset Sum Problem

**Filling the DP Table:** For each element  $i$  (where  $1 \leq i \leq n$ ) and each possible sum  $j$  (where  $0 \leq j \leq S$ ):

- If  $a_{i-1}$  is greater than  $j$ : Exclude it, so

$$DP[i][j] = DP[i - 1][j]$$

- If  $a_{i-1} \leq j$ : We have two choices:

$$DP[i][j] = DP[i - 1][j] \text{ OR } DP[i - 1][j - a_{i-1}]$$

## Explanation of the Choices

- $DP[i - 1][j]$ : The subset sum  $j$  can be achieved without including  $a_{i-1}$ .
- $DP[i - 1][j - a_{i-1}]$ : The subset sum  $j$  can be achieved by including  $a_{i-1}$ , provided that a subset summing to  $j - a_{i-1}$  exists among the first  $i - 1$  elements.

# Subset Sum Problem

**Result of the DP Table:** The solution to the problem will be found in the cell  $DP[n][S]$ :

- If  $DP[n][S] = \text{True}$ , there exists a subset of the array that sums to  $S$ .
- If  $DP[n][S] = \text{False}$ , no such subset exists.



# Subset Sum Problem

## Example:

- Array:  $\{3, 34, 4, 12, 5, 2\}$
- Target Sum: 9

We fill the DP table using the rules discussed and check  $DP[6][9]$  to see if a subset with sum 9 exists.

# Subset Sum Problem

- Given Array:  $\{3, 34, 4, 12, 5, 2\}$
- Target Sum: 9

| $i$                | $j = 0$ | $j = 1$ | $j = 2$ | $j = 3$ | $j = 4$ | $j = 5$ | $j = 6$ | $j = 7$ | $j = 8$ | $j = 9$ |
|--------------------|---------|---------|---------|---------|---------|---------|---------|---------|---------|---------|
| 0 elements         | T       | F       | F       | F       | F       | F       | F       | F       | F       | F       |
| 3                  | T       | F       | F       | T       | F       | F       | F       | F       | F       | F       |
| 3, 34              | T       | F       | F       | T       | F       | F       | F       | F       | F       | F       |
| 3, 34, 4           | T       | F       | F       | T       | T       | F       | F       | T       | F       | F       |
| 3, 34, 4, 12       | T       | F       | F       | T       | T       | F       | F       | T       | F       | F       |
| 3, 34, 4, 12, 5    | T       | F       | F       | T       | T       | T       | F       | T       | T       | T       |
| 3, 34, 4, 12, 5, 2 | T       | F       | T       | T       | T       | T       | T       | T       | T       | T       |

Figure: DP Table for Array  $\{3, 34, 4, 12, 5, 2\}$  and Target Sum 9

# Subset Sum Problem

## Row 0: 0 Elements

- With 0 elements, the only achievable sum is 0.
- Therefore,  $DP[0][0] = \text{True}$ , and all other entries are **False**.

**Row 0:** T, F, F, F, F, F, F, F, F, F

# Subset Sum Problem

## Row 1: First Element = 3

- For  $j = 3$ : We can achieve a sum of 3 by taking only the element 3, so  $DP[1][3] = \text{True}$ .
- For other values of  $j > 3$ : No subset exists that sums to those values with just the element 3.

**Row 1:** T, F, F, T, F, F, F, F, F, F

# Subset Sum Problem

**Row 2: Elements** =  $\{3, 34\}$

- Adding 34 doesn't help us achieve any new sums below 34.
- Therefore, this row remains the same as Row 1.

**Row 2:** T, F, F, T, F, F, F, F, F

# Subset Sum Problem

**Row 3: Elements** =  $\{3, 34, 4\}$

- For  $j = 4$ : We can achieve a sum of 4 by using only the element 4, so  $DP[3][4] = \text{True}$ .
- For  $j = 7$ : We can achieve a sum of 7 by combining elements 3 and 4, so  $DP[3][7] = \text{True}$ .

**Row 3:** T, F, F, T, T, F, F, T, F, F

# Subset Sum Problem

**Row 4: Elements** = {3, 34, 4, 12}

- Adding 12 doesn't allow us to achieve any new sums below 12.
- Therefore, this row remains the same as Row 3.

**Row 4:** T, F, F, T, T, F, F, T, F, F

# Subset Sum Problem

**Row 5: Elements** = {3, 34, 4, 12, 5}

- For  $j = 5$ : We can achieve a sum of 5 by using only the element 5, so  $DP[5][5] = \text{True}$ .
- For  $j = 8$ : We can achieve a sum of 8 by combining elements 3 and 5, so  $DP[5][8] = \text{True}$ .
- For  $j = 9$ : We can achieve a sum of 9 by combining elements 4 and 5, so  $DP[5][9] = \text{True}$ .

**Row 5:** T, F, F, T, T, T, F, T, T, T



# Subset Sum Problem

**Row 6: Elements** = {3, 34, 4, 12, 5, 2}

- For  $j = 2$ : We can achieve a sum of 2 by using only the element 2, so  $DP[6][2] = \text{True}$ .
- For  $j = 6$ : We can achieve a sum of 6 by combining elements 4 and 2, so  $DP[6][6] = \text{True}$ .
- For  $j = 7$ : We can achieve a sum of 7, so this remains True.
- For  $j = 8$  and  $j = 9$ : These values remain True from previous calculations.

**Row 6:** T, F, T, T, T, T, T, T, T, T

## Steps to Trace Back and Find the Subset

- To identify the subset, trace back through the DP table:
  - 1 Start from  $DP[n][S]$ .
  - 2 Compare each  $DP[i][j]$  with  $DP[i - 1][j]$ .
  - 3 Record any element that changes  $DP[i][j]$  from  $DP[i - 1][j]$ .

# Subset Sum Problem

## Step 1: Start from $DP[n][S]$

- Begin at  $DP[6][9]$ , representing using the first 6 elements to achieve a sum of 9.
- Our goal is to trace back through the table to identify which elements contribute to this sum.

## Step 2: Check Each Element's Contribution

- For each  $DP[i][j]$ :
  - If  $DP[i][j] = DP[i - 1][j]$ , the  $i$ -th element was not included.  
Move up to  $DP[i - 1][j]$ .
  - If  $DP[i][j] \neq DP[i - 1][j]$ , the  $i$ -th element was included.  
Record it, subtract its value from  $j$ , and move to  $DP[i - 1][j - a_{i-1}]$ .

## Example Traceback: Starting Point

- **Starting at  $DP[6][9]$ :**
  - $DP[6][9] = \text{True}$  and  $DP[5][9] = \text{True}$ .
  - Therefore, the 6th element (2) was not needed for the sum.  
Move to  $DP[5][9]$ .

## Example Traceback: Moving to $DP[5][9]$

- Check  $DP[5][9]$  and  $DP[4][9]$ :
  - $DP[5][9] = \text{True}$  but  $DP[4][9] = \text{False}$ .
  - This means the 5th element (5) was included in the subset.
  - Record 5 as part of the subset and update  $j = 9 - 5 = 4$ .
  - Move to  $DP[4][4]$ .

## Example Traceback: Moving to $DP[4][4]$

- **Check  $DP[4][4]$  and  $DP[3][4]$ :**
  - $DP[4][4] = \text{True}$  and  $DP[3][4] = \text{True}$ .
  - This means the 4th element (12) was not included.
  - Move to  $DP[3][4]$ .

# Subset Sum Problem

## Example Traceback: Moving to $DP[3][4]$

- Check  $DP[3][4]$  and  $DP[2][4]$ :
  - $DP[3][4] = \text{True}$  but  $DP[2][4] = \text{False}$ .
  - This indicates the 3rd element (4) was included.
  - Record 4 as part of the subset and update  $j = 4 - 4 = 0$ .



## Stop Condition

- Since  $j = 0$ , we have identified all elements in the subset that sum to the target.
- Solution subset:  $\{5, 4\}$ .

# Subset Sum Problem

## Summary:

- The Subset Sum Problem is essentially a simplified version of the 0/1 Knapsack Problem.
- Subset Sum corresponds to a knapsack problem where each item has a "value" equal to its weight.
- Both share structural similarities in terms of choices and DP table setup.

# Equal Sum Partition Problem

## Problem Statement

- Given a set of  $n$  positive integers  $\{a_1, a_2, \dots, a_n\}$ .
- Determine if it is possible to partition the set into two subsets with equal sums.

# Equal Sum Partition Problem

## Why Total Sum Must Be Even

- For two subsets to have equal sums, the total sum of the array must be even.
- Let Total Sum be the sum of all elements in the array.
- If the array can be partitioned into two equal-sum subsets, each subset must sum to:

$$\text{target} = \frac{\text{Total Sum}}{2}$$

- If Total Sum is odd, dividing by 2 results in a non-integer, making an equal partition impossible.

# Equal Sum Partition Problem

## Example to Illustrate the Even Sum Requirement

- Example 1: Array =  $\{1, 5, 11, 5\}$ 
  - Total Sum =  $1 + 5 + 11 + 5 = 22$  (even).
  - Possible to split into subsets that sum to 11.
- Example 2: Array =  $\{1, 2, 4\}$ 
  - Total Sum =  $1 + 2 + 4 = 7$  (odd).
  - Equal partition is impossible because half of 7 is 3.5, not an integer.
- Conclusion: If Total Sum is odd, return False immediately.

# Equal Sum Partition Problem

## Reducing to a Subset Sum Problem

- If Total Sum is even, the problem reduces to finding a subset that sums to:

$$\text{target} = \frac{\text{Total Sum}}{2}$$

- This is now a **Subset Sum Problem** where the goal is to check if any subset can sum up to target.

# Equal Sum Partition Problem

## Summary

- If the total sum is odd, an equal partition is impossible.
- If the total sum is even, reduce the problem to finding a subset sum equal to half the total sum.
- Solve using a DP table to check if a subset with the target sum exists.
- Efficiently determines if an equal partition is possible.

# Count of Subsets with a Given Sum Problem

## Problem Statement

- Given a set of  $n$  positive integers  $\{a_1, a_2, \dots, a_n\}$ .
- A target sum  $S$ .
- Objective: Count the number of subsets in the set that sum up to exactly  $S$ .



## How is this a Variation of the Subset Sum Problem?

- This problem is a variation of the **Subset Sum Problem**, where we are not just interested in checking if a subset exists, but in counting all possible subsets that sum to the target  $S$ .

## Key Differences and Similarities with Subset Sum

### ① Problem Objective:

- In the **Subset Sum Problem**, we simply check whether there exists at least one subset that sums up to a given target.
- In the **Count of Subsets with a Given Sum Problem**, the objective is to count all possible subsets that sum to the target, rather than just determining existence.

## ② DP Table Structure:

- Both problems use a dynamic programming (DP) table to keep track of achievable sums up to the target.
- In the **Subset Sum Problem**, the DP table typically holds boolean values (True or False) indicating whether a specific sum can be achieved.
- In the **Count of Subsets with a Given Sum Problem**, the DP table holds integer counts, with each cell representing the number of ways to achieve a particular sum with the first  $i$  elements.

## 3 Filling the DP Table:

- The structure of the DP table and the base cases are very similar in both problems.
- For each element, you decide whether to include or exclude it. The primary difference lies in how you update the table:
  - In **Subset Sum**, you use a logical OR to determine if any subset can achieve the target sum.
  - In **Count of Subsets**, you use addition to accumulate the counts of subsets that can form the target sum.

# Count of Subsets with a Given Sum Problem

## ④ Recurrence Relation:

- In **Subset Sum**:

$$DP[i][j] = DP[i - 1][j] \text{ OR } DP[i - 1][j - a_{i-1}]$$

- In **Count of Subsets**:

$$DP[i][j] = DP[i - 1][j] + DP[i - 1][j - a_{i-1}]$$

- Here, the + operation in the count problem replaces the OR operation in the subset sum problem.

## 5 Final Result Extraction:

- In **Subset Sum**, you simply check if the target sum is achievable by examining if  $DP[n][S] = \text{True}$ .
- In **Count of Subsets**, you directly retrieve the number of subsets with sum equal to  $S$  by reading the value  $DP[n][S]$ .

# Count of Subsets with a Given Sum Problem

## Summary

- The **Count of Subsets with a Given Sum Problem** can be thought of as an **extension of the Subset Sum Problem**.
- Instead of verifying the existence of a subset, you count all possible subsets that sum to a given target.
- This variation leverages a similar DP setup but with a focus on counting configurations, making it a natural extension of subset sum concepts.